

## Section 14.7: Maximum and Minimum values

**Definition:** A function of two variables has a **local maximum** at  $(a, b)$  if  $f(x, y) \leq f(a, b)$  for all points  $(x, y)$  in some disk with center  $(a, b)$ . The number  $f(a, b)$  is called a **local maximum value**. If  $f(x, y) \geq f(a, b)$  for all  $(x, y)$  in such disk,  $f(a, b)$  is a **local minimum value**.

**Note:** The word local is sometimes replaced with the word relative.

**Theorem:** If  $f$  has a **local extremum** (that is, a **local maximum** or **minimum**) at  $(a, b)$  and the first-order partial derivatives of  $f$  exists there, then  $f_x(a, b) = 0$  and  $f_y(a, b) = 0$

**Note:** If the graph of  $f$  has a tangent plane at a local extremum, then the tangent plane is horizontal.

**Definition:** A point  $(a, b)$  such that  $f_x(a, b) = 0$  and  $f_y(a, b) = 0$ , or one of these partial derivatives does not exist, is called a **critical point** of  $f$ .

**Second Derivative Test:** Suppose the second partial derivatives of  $f$  are continuous in a disk with center  $(a, b)$ , and suppose that  $(a, b)$  is a critical point of  $f$ . Let

$$D = D(a, b) = f_{xx}(a, b)f_{yy}(a, b) - [f_{xy}(a, b)]^2$$

- (a) If  $D > 0$  and  $f_{xx} > 0$ , then  $f(a, b)$  is a local minimum.  
 (b) If  $D > 0$  and  $f_{xx} < 0$ , then  $f(a, b)$  is a local maximum.  
 (c) If  $D < 0$ , then  $f(a, b)$  is a saddle point.  
 (d) If  $D = 0$  then the test gives no information.

$$\text{determinant} = \begin{vmatrix} f_{xx} & f_{xy} \\ f_{yx} & f_{yy} \end{vmatrix} = f_{xx}f_{yy} - f_{xy}f_{yx}$$

**Example:** Find and classify the critical values of  $f(x, y) = y^3 - 6y^2 - 2x^3 - 6x^2 + 48x + 20$

$$f_x = -6x^2 - 12x + 48$$

$$0 = -6(x^2 + 2x - 8)$$

$$= (x + 4)(x - 2)$$

$$x = 2, -4$$

$$f_y = 3y^2 - 12y$$

$$0 = 3y(y - 4)$$

$$y = 0, 4$$

$$f_{xx} = -12x - 12$$

$$f_{yy} = 6y - 12$$

$$f_{xy} = 0$$

critical points	$D = f_{xx}f_{yy} - (f_{xy})^2$	$f_{xx}$	conclusion
$(2, 0)$	$(-36)(-12) - 0 > 0$	neg	local max
$(2, 4)$	$(-36)(12) - 0 < 0$	doesn't matter ( $0 < 0$ )	saddle point
$(-4, 0)$	$36(-12) - 0 < 0$	doesn't matter	saddle point
$(-4, 4)$	$36(12) - 0 > 0$	pos	local min

Example: Find and classify the critical values of  $f(x, y) = x^3 + 6xy - 2y^2$

$$f_x = 3x^2 + 6y$$

$$0 = 3x^2 + 6y$$

$$0 = 3x^2 + 6\left(\frac{3}{2}x\right)$$

$$0 = 3x^2 + 9x$$

$$= 3x(x+3)$$

$$x = 0, -3$$

$$f_y = 6x - 4y$$

$$0 = 6x - 4y$$

$$\frac{-6x}{-4} = y$$

$$\frac{3}{2}x = y$$

$$x = 0 \quad y = 0$$

$$x = -3 \quad y = -9/2$$

$$f_{xx} = 6x$$

$$f_{yy} = -4$$

$$f_{xy} = 6$$

critical points	$D = f_{xx}f_{yy} - (f_{xy})^2$	$f_{xx}$	conclusion
$(0, 0)$	$0(-4) - 6^2 < 0$		saddle point
$(-3, -9/2)$	$(-18)(-4) - 6^2 > 0$	neg	local max

Example: Find and classify the critical values of  $f(x, y) = 1 + 2xy - x^2 - y^2$

$$f_x = 2y - 2x$$

$$0 = 2y - 2x$$

$$x = y$$

$$f_y = 2x - 2y$$

$$0 = 2x - 2y$$

$$\frac{-2x}{-2} = y$$

$$x = y$$

$$f_{xx} = -2$$

$$f_{yy} = -2$$

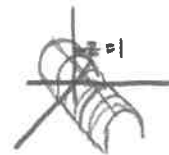
$$f_{xy} = 2$$

$$D = (-2)(-2) - 2^2$$

$$= 0$$

no information

can check graphically



$$f(x, y) = 1 + 2xy - x^2 - y^2$$

$$f(x, y) = 1 - [x^2 - 2xy + y^2]$$

$$f(x, y) = 1 - (x - y)^2$$

when  $x = y$

$$f(x, y) = 1$$